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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION NO.		
10/520,901	04/13/2005	Toshiyoshi Fujiwara	09857/0202272-US0	2780	
7278 DARBY & DA	7590 02/29/200 RBY P.C.	EXAMINER			
P.O. BOX 770		SHEN, WU CHENG WINSTON			
Church Street S New York, NY			ART UNIT	PAPER NUMBER	
,			1632		
			MAIL DATE	DELIVERY MODE	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)		
10/520,901	FUJIWARA ET AL.		
Examiner	Art Unit		
WU-CHENG Winston SHEN	1632		

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The MAILING DATE of this communication appe	ears on the cover sheet with the o	correspondence add	ress			
THE REPLY FILED 14 January 2008 FAILS TO PLACE THIS A						
<ol> <li>M The reply was filed after a final rejection, but prior to or on application, applicant must timely file one of the following application in condition for allowance, (2) a Notice of Appe for Continued Examination (RCE) in compliance with 37 C periods:</li> </ol>	the same day as filing a Notice of a replies: (1) an amendment, affidavities (with appeal fee) in compliance	Appeal. To avoid abar t, or other evidence, v with 37 CFR 41.31; o	which places the r (3) a Request			
a) The period for reply expiresmonths from the mailing b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire Is Examiner Note: If box 1 is checked, check either box (a) or (	dvisory Action, or (2) the date set forth ater than SIX MONTHS from the mailing b). ONLY CHECK BOX (b) WHEN THE	date of the final rejection	on.			
MONTHS OF THE FINAL REJECTION. See MPEP 706.07. Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of ex- under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earmed patient term adjustment. See 37 CFR 1.704(b).	on which the petition under 37 CFR 1.1. tension and the corresponding amount of shortened statutory period for reply origing than three months after the mailing date	of the fee. The appropri- nally set in the final Office	ate extension fee te action; or (2) as			
NOTICE OF APPEAL 2. ☐ The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exter Notice of Appeal has been filed, any reply must be filed when the filed was the filed with the filed was the filed with the filed was the file	nsion thereof (37 CFR 41.37(e)), to	avoid dismissal of the				
AMENDMENTS						
<ol> <li>\( \)\) The proposed amendment(s) filed after a final rejection, I.         <ul> <li>(a)</li> <li>\( \)\) They raise new issues that would require further core.</li> <li>(b)</li> <li>\( \) They raise the issue of new matter (see NOTE belotic).</li> <li>\( \)\) They are not deemed to place the application in bet appeal; and/or</li> <li>(d)</li> <li>\( \)\) They present additional claims without canceling a continuous continuous.</li> </ul> </li> </ol>	nsideration and/or search (see NOT w); ter form for appeal by materially rec corresponding number of finally reje	E below); ducing or simplifying t				
NOTE: <u>See Continuation Sheet</u> . (See 37 CFR 1.1						
<ol> <li>The amendments are not in compliance with 37 CFR 1.12</li> </ol>		mpliant Amendment (	PTOL-324).			
5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the						
7. Mean round roun		be entered and an e	xplanation of			
AFFIDAVIT OR OTHER EVIDENCE						
<ol> <li>The affidavit or other evidence filed after a final action, bu because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).</li> </ol>						
<ol> <li>The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o showing a good and sufficient reasons why it is necessary</li> </ol>	vercome <u>all</u> rejections under appea	l and/or appellant fail	s to provide a			
<ol> <li>The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER</li> </ol>	n of the status of the claims after er	ntry is below or attach	ed.			
11. \( \subseteq \) The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet.						
12. Note the attached Information <i>Disclosure Statement</i> (s). ( 13. Other:	(PTO/SB/08) Paper No(s)					
	/Valarie Bertoglio/ Primary Examiner Art Unit 1632					

Continuation of 3. NOTE: Independent claim 4 is proposed to be amended to read as follows: A polynucleotide cassette comprising an hTERT promoter operably linked with an E1A gene, an IRES sequence, and an E1B gene in this order, wherein the cassette is capable of replicating in a cancer cell. The proposed amendments "wherein the cassette is capable of replicating in a cancer cell" raise new issues that would require further consideration and/or search in terms 112 first issues because independent claim 4 recites a polynucleotide cassette, which may not be capable of replicating in a cancer cell as a recombinant virus recited in dependent claim 4.

## Continuation of 11, does NOT place the application in condition for allowance because:

Applicant's arguments have failed to overcome the scope of enablement rejection of claims 4-11 under 35 U.S.C. 112, first paragraph, because Applicant's arguments have been fully considered, and found not persuasive. As discussed in the F10 office action dated 11/20/2007, pages 6-10, Example 6 does not provide any in vivo evidence and/or arguments that the anticancer activity on the transplanted human lung cancer cell IH136 in under mice after administration of the claimed polynucleotide cassette. To the best of the Examiner's understanding of Applicant's arguments, Example 6 demonstrates that administration of TRAD vector bearing an insertion of claimed cassette can kill human cancer cell transplanted in a mouse and in the same experimental setting administration of Ad-p53 vector ankill cancer cells by expressing therapeutic gene p53. It appears that Ad-p53 in Example 6 serves as a positive control in term of killing cancer cells. In this regard, the Examiner notes that Example 6 misses a negative control with the same TRAD vector without an insertion of claimed polynucleotide cassette to conclude that the cancer killing effect is attributed to the expression of claimed polynucleotide cassette. In light of lack of support of claimed invention pertaining to the direct of a given chemical compound pipicant's arguments that in vivo testing is not necessary to satisfy the "how to use" of 112 first enablement requirement, are found not persuasive. The Examiner further notes that the citation of in re Brana pertaining to the effect of a given chemical compound for treatment of cancer is unrelated to approach, broadly encompassed by gene expression/therapy, taken by instant application. The rejection is maintained of the recont

Applicant's arguments have failed to overcome the rejection of claims 4-8 and 11 under 35 U.S.C. 103(a) as being unpatentable over Morin et al. taken with Li et al. because Applicant's arguments have been fully considered, and found not persuasive. Applicant's arguments focus on that there would have been no motivation to alter the teachings of Morin and Li to arrive at the claimed invention and no likehood of success for Killing. The essence of Applicant's arguments are essentially the same as the arguments Applicant responded to Non-Final office action. In this regard, The Examiner has addressed Applicant's arguments in the Final office action dated 11/20/2007, pages 15-17. It is worth repeating that the arguments Li et al demonstarted the oncolytic adenovirus in liver cancer only, which is different from the claimed invention that can be utilized in a variety cancers, as Applicant has asserted, are found not persuasive. The Examiner notes that a liver cancer is a species of cancer encompassed by the listed genus of cancers in claim 9 of instant application. The claimed invention to the claimed invention is the citation of Mizuguchi et al., Molecular therapy, 1(4) (April 2002), which teaches that IRES-dependent second gene expression is less efficient that cap-dependent first gene expression. In response, the Examiner notes that Mizuguchi et al. only provides a general statement regarding the relative expression levels of gene under IRES translational control. There is no specific teachings in Mizuguchi et al. that are relevant to the claimed cassette to arrive at the claimed cancer cell killing effect of the poplynucleotide cassette, when administered. The rejection is maintained of the record.